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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Ellis et al.

Appl. No.

09/879,987

Filed

06/14/2001-

Title

APPARATUSES AND METHODS FOR SURGICAL

NAVIGATION

Grp./A.U.

2621

Examiner

Docket No.

13702

Honorable Assistant Commissioner of Patents

Washington, D.C.

20231

OCT 1 7 2001 Technology Center 2600

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Sir:

PTO CUSTOMER NO. 000293

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R., §§ 1.97-1.99, applicant submits the following information which may be of interest to the examiner in charge of the above referenced application for patent. Copies of the references listed on the attached Form PTO-1449, List of Prior Art Cited by Applicant, are attached.

Respectfully submitted,

Ralph A. Dowell

Registration No. 26,868 October 15, 2001 Date:

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